' RECEIVED e 1 of 7

NOA 0 J 5000

1642

Jeon Center 1600/2500

DATE: 10/25/2000 RAW SEQUENCE LISTING PATENT APPLICATION: US/09/602,812A TIME: 17:29:51

Input Set : A:\P1467R2.txt

Output Set: N:\CRF3\10252000\I602812A.raw

```
3 <110> APPLICANT: Adams, Camellia W.
              Presta, Leonard G.
              Sliwkowski, Mark X.
        <120> TITLE OF INVENTION: Humanized Anti-ErbB2 Antibodies and Treatment with
     8
              Anti-ErbB2 Antibodies
    10 <130> FILE REFERENCE: P1467R2
10 <130> FILE REFERENCE: 1707.12

> 12 <140> CURRENT APPLICATION NUMBER: US/09/602,812A
     12 <141> CURRENT FILING DATE: 2000-06-23
    14 <150> PRIOR APPLICATION NUMBER: US 60/141,316
    15 <151> PRIOR FILING DATE: 1999-06-25
    17 <160> NUMBER OF SEQ ID NOS: 13
    19 <210> SEQ ID NO: 1
     20 <211> LENGTH: 107
    21 <212> TYPE: PRT
    22 <213> ORGANISM: Mus Musculus
        <400> SEQUENCE: 1
        Asp Thr Val Met Thr Gln Ser His Lys Ile Met Ser Thr Ser Val
    26
                                               10
    28
        Gly Asp Arg Val Ser Ile Thr Cys Lys Ala Ser Gln Asp Val Ser
    29
                          20
                                               25
     31
        Ile Gly Val Ala Trp Tyr Gln Gln Arg Pro Gly Gln Ser Pro Lys
    32
                          35
                                               40
    34
        Leu Leu Ile Tyr Ser Ala Ser Tyr Arg Tyr Thr Gly Val Pro Asp
    35
                          50
                                               55
    37
        Arg Phe Thr Gly Ser Gly Ser Gly Thr Asp Phe Thr Phe Thr Ile
    38
                          65
    40
        Ser Ser Val Gln Ala Glu Asp Leu Ala Val Tyr Tyr Cys Gln Gln
    41
                          80
                                               85
                                                                    90
    43
        Tyr Tyr Ile'Tyr Pro Tyr Thr Phe Gly Gly Gly Thr Lys Leu Glu
    46
        Ile Lvs
    49 <210> SEO ID NO: 2
    50 <211> LENGTH: 119
    51 <212> TYPE: PRT
    52 <213> ORGANISM: Mus musculus
    54 <400> SEQUENCE: 2
    55
        Glu Val Gln Leu Gln Gln Ser Gly Pro Glu Leu Val Lys Pro Gly
    56
    58
        Thr Ser Val Lys Ile Ser Cys Lys Ala Ser Gly Phe Thr Phe Thr
    59
                          20
                                               25
    61
        Asp Tyr Thr Met Asp Trp Val Lys Gln Ser His Gly Lys Ser Leu
    62
                          35
                                               40
        Glu Trp Ile Gly Asp Val Asn Pro Asn Ser Gly Gly Ser Ile Tyr
    65
                          50
                                               55
                                                                    60
    67
        Asn Gln Arg Phe Lys Gly Lys Ala Ser Leu Thr Val Asp Arg Ser
    68
                          65
                                               70
    70
        Ser Arg Ile Val Tyr Met Glu Leu Arg Ser Leu Thr Phe Glu Asp
```

 RAW SEQUENCE LISTING
 DATE: 10/25/2000

 PATENT APPLICATION:
 US/09/602,812A
 TIME: 17:29:51

Input Set : A:\P1467R2.txt
Output Set: N:\CRF3\10252000\1602812A.raw

```
Thr Ala Val Tyr Tyr Cys Ala Arg Asn Leu Gly Pro Ser Phe Tyr
73
                    95
                                        100
74
    Phe Asp Tyr Trp Gly Gln Gly Thr Thr Leu Thr Val Ser Ser
76
77
                    110
                                        115
79
  <210> SEQ ID NO: 3
80 <211> LENGTH: 107
81 <212> TYPE: PRT
82 <213> ORGANISM: Artificial sequence
84 <220> FEATURE:
85 <223> OTHER INFORMATION: humanized VL sequence
   <400> SEQUENCE: 3
87
   Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val
88
   Gly Asp Arg Val Thr Ile Thr Cys Lys Ala Ser Gln Asp Val Ser
91
                                         25
92
                     20
   Ile Gly Val Ala Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys
94
95
                     35
                                         40
97
   Leu Leu Ile Tyr Ser Ala Ser Tyr Arg Tyr Thr Gly Val Pro Ser
98
100 Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile
101
                      65
                                          70
     Ser Ser Leu Gln Pro Glu Asp Phe Ala Thr Tyr Tyr Cys Gln Gln
103
                                          85
104
                      80
    Tyr Tyr Ile Tyr Pro Tyr Thr Phe Gly Gln Gly Thr Lys Val Glu
106
                                         100
107
                      95
109
    Ile Lys
112 <210> SEQ ID NO: 4
113 <211> LENGTH: 119
114 <212> TYPE: PRT
115 <213> ORGANISM: Artificial sequence
117 <220> FEATURE:
118 <223> OTHER INFORMATION: Humanized VH sequence
120 <400> SEQUENCE: 4
    Glu Val Gln Leu Val Glu Ser Gly Gly Gly Leu Val Gln Pro Gly
121
122
                                          10
     Gly Ser Leu Arg Leu Ser Cys Ala Ala Ser Gly Phe Thr Phe Thr
124
                     20
125
     Asp Tyr Thr Met Asp Trp Val Arg Gln Ala Pro Gly Lys Gly Leu
127
128
                      35
                                          40
     Glu Trp Val Ala Asp Val Asn Pro Asn Ser Gly Gly Ser Tle Tyr
130
131
                      50
     Asn Gln Arg Phe Lys Gly Arg Phe Thr Leu Ser Val Asp Arg Ser
133
                                          70
134
                      65
136
     Lys Asn Thr Leu Tyr Leu Gln Met Asn Ser Leu Arg Ala Glu Asp
137
                                          85
                                                               90
139
     Thr Ala Val Tyr Tyr Cys Ala Arg Asn Leu Gly Pro Ser Phe Tyr
                      95
                                         100
140
     Phe Asp Tyr Trp Gly Gln Gly Thr Leu Val Thr Val Ser Ser
```

RAW SEQUENCE LISTING DATE: 10/25/2000 PATENT APPLICATION: US/09/602,812A TIME: 17:29:51

Input Set: A:\P1467R2.txt
Output Set: N:\CRF3\10252000\1602812A.raw

```
110
143
145 <210> SEQ ID NO: 5
146 <211> LENGTH: 107
147 <212> TYPE: PRT
148 <213> ORGANISM: Artificial sequence
150 <220> FEATURE:
151 <223> OTHER INFORMATION: light chain consensus sequence
153 <400> SEQUENCE: 5
154 Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val
155
                                          10
157
     Gly Asp Arg Val Thr Ile Thr Cys Arg Ala Ser Gln Ser Ile Ser
158
    Asn Tyr Leu Ala Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys
160
161
                      35
                                          40
    Leu Leu Ile Tyr Ala Ala Ser Ser Leu Glu Ser Gly Val Pro Ser
163
164
166
    Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile
167
                                          70
                     65
    Ser Ser Leu Gln Pro Glu Asp Phe Ala Thr Tyr Tyr Cys Gln Gln
169
                      80
                                          85
    Tyr Asn Ser Leu Pro Trp Thr Phe Gly Gln Gly Thr Lys Val Glu
172
173
                     95
                                         100
175 Ile Lys
178 <210> SEQ ID NO: 6
179 <211> LENGTH: 119
180 <212> TYPE: PRT
181 <213> ORGANISM: Artificial sequence
183 <220> FEATURE:
184 <223> OTHER INFORMATION: heavy chain consensus sequence
186 <400> SEQUENCE: 6
    Glu Val Gln Leu Val Glu Ser Gly Gly Gly Leu Val Gln Pro Gly
187
188
     1
                      - 5
                                          10
190
     Gly Ser Leu Arg Leu Ser Cys Ala Ala Ser Gly Phe Thr Phe Ser
191
     Ser Tyr Ala Met Ser Trp Val Arg Gln Ala Pro Gly Lys Gly Leu
193
194
                      35
                                          40
196
    Glu Trp Val Ala Val Ile Ser Gly Asp Gly Gly Ser Thr Tyr Tyr
197
                      50
                                          55
199
    Ala Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Arg Asp Asn Ser
200
                                          70
                      65
    Lys Asn Thr Leu Tyr Leu Gln Met Asn Ser Leu Arg Ala Glu Asp
202
203
                                          85
    Thr Ala Val Tyr Tyr Cys Ala Arg Gly Arg Val Gly Tyr Ser Leu
                                         100
206
                      95
    Tyr Asp Tyr Trp Gly Gln Gly Thr Leu Val Thr Val Ser Ser
208
209
                     110
211 <210> SEQ ID NO: 7
212 <211> LENGTH: 10
213 <212> TYPE: PRT
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RAW SEQUENCE LISTING DATE: 10/25/2000 PATENT APPLICATION: US/09/602,812A TIME: 17:29:51

Input Set : A:\P1467R2.txt
Output Set: N:\CRF3\10252000\1602812A.raw

```
214 <213> ORGANISM: Mus musculus
     216 <220> FEATURE:
     217 <221> NAME/KEY: unsure
     218 <222> LOCATION: 10
     219 <223> OTHER INFORMATION: unknown amino acid
     221 <400> SEQUENCE: 7
W--> 222 Gly Phe Thr Phe Thr Asp Tyr Thr Met Xa\stackrel{?}{a} 223 1 5
     225 <210> SEQ ID NO: 8
    .226 <211> LENGTH: 17
     227 <212> TYPE: PRT
228 <213> ORGANISM: Mus musculus
     230 <400> SEQUENCE: 8
     231 Asp Val Asn Pro Asn Ser Gly Gly Ser Ile Tyr Asn Gln Arg Phe 232 1 5 10 ... 15
     234 Lys Gly
     237 <210> SEQ ID NO: 9
     238 <211> LENGTH: 10
     239 <212> TYPE: PRT
     240 <213> ORGANISM: Mus musculus
     242 <400> SEQUENCE: 9
     243 Asn Leu Gly Pro Ser Phe Tyr Phe Asp Tyr
     244 1 5
     246 <210> SEQ ID NO: 10
     247 <211> LENGTH: 11
     248 <212> TYPE: PRT
     249 <213> ORGANISM: Mus musculus
     251 <400> SEQUENCE: 10
     252 Lys Ala Ser Gln Asp Val Ser Ile Gly Val Ala
253 1 5 10
     255 <210> SEQ ID NO: 11
     256 <211> LENGTH: 7
     257 <212> TYPE: PRT
     258 <213> ORGANISM: Mus musculus 260 <220> FEATURE:
     261 <221> NAME/KEY: unsure
     262 <222> LOCATION: 5-7
     263 <223> OTHER INFORMATION: unknown amino acid
     265 <400> SEQUENCE: 11 /
W--> 266 Ser Ala Ser Tyr Xaa Xaa Xaa
     267 1
     269 <210> SEQ ID NO: 12
     270 <211> LENGTH: 9
     271 <212> TYPE: PRT
     272 <213> ORGANISM: Mus musculus
     274 <400> SEQUENCE: 12
     275 Gln Gln Tyr Tyr Ile Tyr Pro Tyr Thr 276 1 5
```

278 <210> SEQ ID NO: 13

VERIFICATION SUMMARY

DATE: 10/25/2000

PATENT APPLICATION: US/09/602,812A

TIME: 17:29:52

Input Set : A:\P1467R2.txt

Output Set: N:\CRF3\10252000\1602812A.raw

L:12 M:282 W: Numeric Field Identifier Missing, <140> CURRENT APPLICATION NUMBER: is Added. L:222 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 L:266 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11



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1	IDS	1
2	NPL	1

Total number of pages: 2	
Remarks:	